Overview of the National Action Plans from the Monitoring and Evaluation Point of View

Prepared for UNDP Bratislava by European Centre for Minority Issues in May 2007

Presentation by Jaroslav Kling, UNDP Bratislava
RELEVANT DECADE OBLIGATIONS

- Efficient and timely implementation of NAPs (including necessary financing)
- Roma participation in implementation and monitoring
- Coordination among line ministries and other relevant institutions
- Provision of disaggregated data in accordance with international standards on data collection and data protection
- Establishment of an effective monitoring mechanism for measuring progress
WHY MONITORING AND EVALUATION IN RELATION TO THE DECADE NAPS?

Ensuring availability of accurate and up-to-date information allows:

- Tracking of progress towards specific objectives and targets

- Transmission of information to relevant decision-makers about shortcomings in order to:
  - Improve NAP design
  - Optimize resource allocation
  - Refine measures to be implemented in future
ASSESSING THE NAPs (1)

Selected questions

- What type of management arrangements are there?
  - Is there a government body responsible for coordinating NAP implementation? Does the coordinating body have competencies to require regular evaluation and reporting from other government offices/ministries?

- What are the institutional arrangements for monitoring?
  - Are there provisions concerning the establishment of a special body at the national level which will possess the managerial control over the monitoring process?
  - Does the NAP foresee specific responsibilities for monitoring in the individual fields of intervention? Are there responsibilities for monitoring the effects of the NAP measures on the cross-cutting issues?

- Are there clear provisions regarding data availability, possible data sources, and institutions responsible for data collection, analysis and reporting?
ASSESSING THE NAPs (2)

Selected questions - continued

- Are goals, objectives, activities, indicators and targets clearly distinguished?
  - Have indicators been defined? Do they provide information necessary for monitoring?
- Have baseline data been collected?
  - Have funds been allocated for baseline study and ongoing collection and review of data on the indicators?
- Are there provisions for participatory monitoring and evaluation?
  - Have indicators been selected in consultation with stakeholders? What concrete mechanisms exist to involve and engage stakeholders in the design, processes, and use of monitoring and evaluation?
- Does the NAP include arrangements for output to outcome and impact assessments?
MONITORING AND EVALUATION (M&E): REVIEW OF EXISTING ARRANGEMENTS

- The NAPs do not generally integrate a comprehensive M&E plan
- The degree of presence of elements of M&E varies across the different NAPs
- Arrangements for participatory M&E are insufficient
- Insufficient planning for output to outcome and impact assessments
- In the absence of a single model, cross-regional comparison useful for benchmarking purposes
PREVAILING WEAKNESSES (1)

- **Institutional arrangements** for M&E not sufficiently developed
  - Not always clear who reports to whom and when

- No clear provisions setting out **how and by whom information should be collected**, who should compile and analyze it, and frequency of reporting

- **Baseline data** are often unavailable
  - No funds have been budgeted for baseline study and ongoing collection and review of data
  - No clear timelines for data collection activities
PREVAILING WEAKNESSES (2)

- **Indicators** often do not provide sufficient information for monitoring activities.

- **Insufficient distinction among categories:**
  - Goals
  - Objectives
  - Activities
  - Indicators
  - Targets (quantified levels for indicators)

- **Qualitative methods** (e.g. surveys) not sufficiently used.

- **Follow-up mechanisms** not adequately developed
  - Monitoring activities do not sufficiently influence decision-making.
GOOD PRACTICES (1)

- National information and monitoring system
- Planning for M&E (including methodology)
- Standardization measures:
  - Reporting system
  - Evaluation (including financial follow-up)
- Combining “internal” and “external” monitoring for coordination among relevant ministries and timely response to societal developments
- Budgeting requirements on implementing agencies for covering the measures
GOOD PRACTICES (2)

- Data collection
  - Engaging independent research agencies
  - Cooperation and consultation with Romani NGOs
  - Qualitative research with focus groups
  - Sociological research in areas with predominantly Romani population

- Relating Decade NAP targets to other government strategies

- Productive comparisons:
  - External – between Roma and other disadvantaged groups
  - Internal – among Roma by gender, region, etc.
<table>
<thead>
<tr>
<th>KEY ELEMENTS OF M&amp;E</th>
<th>Bulgaria</th>
<th>Croatia</th>
<th>Czech Republic</th>
<th>Hungary</th>
<th>Macedon</th>
<th>Montenegro</th>
<th>Romania</th>
<th>Serbia</th>
<th>Slovakia</th>
</tr>
</thead>
<tbody>
<tr>
<td>Institutional arrangements for monitoring</td>
<td>Orange</td>
<td>Orange</td>
<td>Purple</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
<tr>
<td>Clear reporting requirements</td>
<td>Orange</td>
<td>Orange</td>
<td>Green</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
<tr>
<td>Participatory M&amp;E</td>
<td>Orange</td>
<td>Orange</td>
<td>Purple</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
<tr>
<td>Data collection</td>
<td>Orange</td>
<td>Orange</td>
<td>Green</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
<tr>
<td>Baseline data</td>
<td>Orange</td>
<td>Orange</td>
<td>Green</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
<tr>
<td>Comprehensive M&amp;E plans</td>
<td>Orange</td>
<td>Orange</td>
<td>Green</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
<tr>
<td>Allocation of funds for monitoring</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
<tr>
<td>Feedback mechanisms</td>
<td>Orange</td>
<td>Orange</td>
<td>Purple</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
<tr>
<td>External evaluation</td>
<td>Orange</td>
<td>Orange</td>
<td>Green</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
<td>Orange</td>
</tr>
</tbody>
</table>

Legend:
- Low
- Middle
- High
THANK YOU!